

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE REPORTS

Last Name	First Name and Middle Initial	New Employee Report Date of Employment (mm/dd/yy):	Senate Office / Agency in Which Employed
Conlin	Roxanne B.		
Senate/Candidate Office Address (Number, Street, City, State, and ZIP)		Senate/Candidate Office Telephone No.	Candidate Report Commencement of Candidacy (mm/dd/yy):
P.O. Box 876 Des Moines, Iowa 50304		(515) 243-3100	10/29/09
			Iowa


AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS

	YES	NO		YES	NO
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions during the reporting period? If Yes, Complete and Attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete and Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you have any reportable agreement or arrangement with an outside entity on the filing date? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		

For Official Use Only - Do Not Write Below This Line	Date (Month, Day, Year)
Signature of Reviewing Official	

It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.

09 DEC 31 PM 12:08
SECRETARY OF THE SENATE

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source	Address (City, State)	Type of Income		Amount
		Salary	Example	
Example:	<i>JP Computers</i>	<i>Salary</i>	<i>Example</i>	<i>\$15,000</i>
<i>MCI (Spouse)</i>	<i>Arlington, VA</i>	<i>Salary</i>	<i>Example</i>	<i>Over \$1,000</i>
1 Roxanne Conlin & Associates, PC	Des Moines, IA	Salary		\$456,191
2 Canterbury Equities II, LLC	Des Moines, IA	Ordinary Bus Inc		\$9,104
3 Southern Hills Equities II, LLC	Des Moines, IA	Ordinary Bus Inc		\$2,046
4 Southern Hills Equities I, LLC	Des Moines, IA	Ordinary Bus Inc		\$4,074
5 Conlin Development Company (Spouse)	Des Moines, IA	Developer Fees		Over \$1,000
6 Pinnacle Real Estate, Co. (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
7 Growth Equities, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
8 Conlin Construction Services, LC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
9 Windfield Equities II, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
10 Parkside Equities III, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
11 Parkside Equities IV, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
12 Windfield Equities III, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
13 Canterbury Equities II, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000

PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source	Address (City, State)	Type of Income		Amount
		Salary	Example	
Example:	<i>Wash, DC</i>	<i>Salary</i>	<i>Example</i>	<i>\$15,000</i>
<i>MCI (Spouse)</i>	<i>Arlington, VA</i>	<i>Salary</i>	<i>Example</i>	<i>Over \$1,000</i>
1 Deer Ridge Equities IV, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
2 Southern Hills Equities II, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
3 Southern Hills Equities I, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc		Over \$1,000
4				
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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

BLOCK B

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

[illegible]

EXEMPTION TEST (see *instructions before marking box*). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

None (or less than \$201)
\$201 - \$1,000
\$1,001 - \$2,500
\$2,501 - \$5,000
\$5,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
Over \$5,000,000

[illegible]

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Your dependent child. (See p.5.) CONTENTS OF REPORTS Part B of Instructions for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
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Click...to create an additional page for this part.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Publicly Traded Assets
And Unearned Income Sources

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BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

None	None (or less than \$201)	
Dividends	\$201 - \$1,000	
Rent	\$1,001 - \$2,500	
Interest	\$2,501 - \$5,000	
Capital Gains	\$5,001 - \$15,000	
Excepted Investment Fund	\$15,001 - \$50,000	
Excepted Trust	\$50,001 - \$100,000	
Qualified Blind Trust	\$100,001 - \$1,000,000	
Other (Specify Type)	Over \$1,000,000***	
	\$1,000,001 - \$5,000,000	
	Over \$5,000,000	

Actual Amount Required if "Other" Specified

S, DC, or J	IBM Corp. (stock)	Example	Example	Example	Example
Example: DC, or J	Keystone Fund				
1 J	Kohls Common (stock)				
2 J	Kraft Foods Inc (stock)				
3 J	Microsoft Corp (stock)				
4 J	Nike Inc (stock)				
5 J	Norfolk Southern Corp (stock)				
6 J	Omnicom Group Inc (stock)				
7 J	Oracle Corp (stock)				
8 J	PPL Corporation (stock)				
9 J	Peabody Energy Corp (stock)				
10 J	Pepsico Inc (stock)				

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Actual Amount	Required if "Other" Specified
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Example

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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Valuation of Assets

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S, Example: DC, or J			IBM Corp. (stock)										KeyStone Fund										None (or less than \$1,001)										\$1,001 - \$15,000										\$15,001 - \$50,000										\$50,001 - \$100,000										\$100,001 - \$250,000										\$250,001 - \$500,000										\$500,001 - \$1,000,000										Over \$1,000,000***										\$1,000,001 - \$5,000,000										\$5,000,001 - \$25,000,000										\$25,000,001 - \$50,000,000										Over \$50,000,000										None										Dividends										Rent										Interest										Capital Gains										Excepted Investment Fund										Excepted Trust										Qualified Blind Trust										Other (Specify Type)										None (or less than \$201)										\$201 - \$1,000										\$1,001 - \$2,500										\$2,501 - \$5,000										\$5,001 - \$15,000										\$15,001 - \$50,000										\$50,001 - \$100,000										\$100,001 - \$1,000,000										Over \$1,000,000***										\$1,000,001 - \$5,000,000										Over \$5,000,000										Actual Amount Required if "Other" Specified																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
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Roxanne Conlin

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BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

[illegible]

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, **CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
	X							Example	X											Example

Example: S, DC, or J	IBM Corp. (Stock)	KeyStone Fund																		
1	West Bank Money Market		X																	
2	ISHARES MSCI EAFE Index Fund		X																	
3	ISHARES Russell 2000 Index Fund		X																	
4	ISHARES Russell Midcap		X																	
5	ISHARES S&P 500 Index Fund		X																	
6	ISHARES Barclays Bond Fund		X																	
7	Vanguard Total Bond Market		X																	
8																				
9																				
10																				

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Click...to create an additional page for this part.

18

BLACK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

Type of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Amount of Income

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

None
Dividends
Rent
Interest
Capital Gains
Excepted Investment Fund
Excepted Trust
Qualified Blind Trust

Other	(Specify Type)
	None (or less than \$201)
	\$201 - \$1,000
	\$1,001 - \$2,500
	\$2,501 - \$5,000
	\$5,001 - \$15,000
	\$15,001 - \$50,000
	\$50,001 - \$100,000
	\$100,001 - \$1,000,000
	Over \$1,000,000***
	\$1,000,001 - \$5,000,000
	Over \$5,000,000

Actual Amount	Required if "Other" Specified
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[illegible]

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.															None (or less than \$1,001)										\$1,001 - \$15,000										\$15,001 - \$50,000										\$50,001 - \$100,000										\$100,001 - \$250,000										\$250,001 - \$500,000										\$500,001 - \$1,000,000										Over \$1,000,000***										\$1,000,001 - \$5,000,000										\$5,000,001 - \$25,000,000										\$25,000,001 - \$50,000,000										Over \$50,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
Example: DC, or J															None										Dividends										Rent										Interest										Capital Gains										Excepted Investment Fund										Excepted Trust										Qualified Blind Trust										Other (Specify Type)										None (or less than \$201)										\$201 - \$1,000										\$1,001 - \$2,500										\$2,501 - \$5,000										\$5,001 - \$15,000										\$15,001 - \$50,000										\$50,001 - \$100,000										\$100,001 - \$1,000,000										Over \$1,000,000***										\$1,000,001 - \$5,000,000										Over \$5,000,000										Required if "Other" Specified																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, **CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

BLOCK B

Valuation of Assets

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of income

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

[illegible]

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate

Click...to create an additional page for this part.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

Actual Amount	Required if "Other" Specified
------------------	--

[illegible]

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset *is* held independently by the spouse or dependent child. If the asset *is* held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

BLOCK B

Valuation of Assets

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

[illegible]

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Actual Amount	Required if "Other" Specified
------------------	--

Click...to create an additional page for this part

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, *CONTENTS OF REPORTS Part B of Instructions*) for production of income or investment which:

BLOCK B

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, accepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

[illegible]

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value.

Click...to create an additional page for this part.

PART IIIA. PUBLICLY TRADED ASSETS AND LINEAR BORN INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, **CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

BLOCK B

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

[illegible]

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3. CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

Your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was held jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B
Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

Other (Specify Type)

Actual Amount Required if "Other" Specified

S, Wash DC, or J		JP Computer, Software Design, DC																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was held jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Non-Publicly Traded
Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B
Valuation of Assets

At the close of reporting period:
If None, or less than \$1,001,
Check the first column.

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
	X							Example	X											Example
								Example												Example

S, JP Computer, Software Design,
or J, Wash DC

Example: DC, Undeveloped land, Dubuque, Iowa

1 J	RENTAL PROPERTIES:																			
2 J	Fort South Apartments I, rental real estate, Des Moines, IA (Assessed value)	X																		
3 J	Southtown Terrace Apartments, rental real estate, Des Moines, IA (Assessed value)							X												
4 J	Summit House Condo, rental real estate, Des Moines, IA (Assessed value)							X												
5 J	Windsor Park Townhouse, rental real estate, Des Moines, IA (Assessed value)							X												
6 J	l, rental real estate, Des Moines, IA (Assessed value)							X												
7 J	Francis Street House, rental real estate, Des Moines, IA (Assessed value)							X												
8 J	rental real estate, Des Moines, IA (Assessed value)							X												
9 J	, rental real estate, Des Moines, IA (Assessed value)							X												
10 J	rental real estate, Des Moines, IA (Assessed value)	X																		

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was held jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

Roxanne Conlin

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

None (or less than \$1,001)

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000

Over \$1,000,000***

\$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

Over \$50,000,000

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

None

Dividends

Rent

Interest

Capital Gains

Excepted Investment Fund

Excepted Trust

Qualified Blind Trust

Other (Specify Type)

None (or less than \$201)

\$201 - \$1,000

\$1,001 - \$2,500

\$2,501 - \$5,000

\$5,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$1,000,000

Over \$1,000,000***

\$1,000,001 - \$5,000,000

Over \$5,000,000

Actual Amount Required if "Other" Specified

Example: S, JP Computer, Software Design, Wash DC

or J Undeveloped land, Dubuque, Iowa

1 J Beaverdale Properties, rental real estate, Des Moines, IA (Assessed values)

2 J Lakeview Apartments, rental real estate, Des Moines, IA (Assessed value)

3 J Moines, IA (Assessed value), rental real estate, Des Moines, IA (Assessed value)

4 Chateau Apartments, rental real estate, Des Moines, IA (Assessed value)

5 Drake Park Apartments, rental real estate, Des Moines, IA (Assessed value)

6 826 Properties, rental real estate, Des Moines, IA (Assessed value)

7

8

9

10

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was held jointly, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

BLOCK B

Valuation of Assets

At the close of reporting period
If None, or less than \$1,001,
Check the first column.

BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

[illegible]

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate

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Roxanne Corlin

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3. CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.
Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B

Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income

Amount of Income

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

None
Dividends
Rent
Interest
Capital Gains
Excepted Investment Fund
Excepted Trust
Qualified Blind Trust
Other
(Specify Type)

None (or less than \$201)
\$201 - \$1,000
\$1,001 - \$2,500
\$2,501 - \$5,000
\$5,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
Over \$5,000,000

Actual Amount Required if "Other" Specified

Example: S, J/P Computer, Software Design, Wash DC
or J Undeveloped land, Dubuque, Iowa

1 S Sunburst Apartments, LP, Des Moines, IA
(Assessed value of underlying complex)

2 J 138 Partnership, Des Moines, IA

3 OTHER MISCELLANEOUS:

4 J Harrington I, LP (Promissory Note)

5 S Harrington I, LP (Note Receivable)

6 J Real estate held for development, Des Moines, IA

7 J West Bank Certificate of Deposit

8 West Bank Certificate of Deposit

9

10

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was held jointly held, use the other categories of value, as appropriate.

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Roxanne Conlin

PART VII. LIABILITIES

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Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Term if Applicable	Category of Amount of Value (x)										
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Example: S, First District Bank or J John Jones	Wash., DC	Mortgage on undeveloped land	1991	13%	25yrs			X		E	X	A	M	P	L	E
1 S West Bank	Des Moines, IA	Line of Credit	1994	3.25%	On Demand				X							
2 J West Bank	Des Moines, IA	Note Payable - Pinnacle Real Estate, LC	2008	5.5%	Due 2010								X			
3																
4																
5																
6																
7																
8																
9																
10																
11																
12																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

Roxanne Conlin

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PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization	Address (City, State)		Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
	EXAMPLE	EXAMPLE				
Example:	<i>National Assn. of Rock Collectors</i>	<i>NY, NY</i>	<i>Non-profit education</i>	<i>President</i>	<i>6 / 90</i>	<i>Present</i>
	<i>Jones & Smith</i>	<i>Hometown, USA</i>	<i>Law Firm</i>	<i>Partner</i>	<i>7 / 95</i>	<i>11 / 0X</i>
1	American Association for Justice:					
2	Committee for Ethical Conduct	Washington, DC	Legal Advocacy	Member	08/07	Present
3	Diversity Task Force	Washington, DC	Legal Advocacy	Member	08/09	Present
4	Organization Review Committee	Washington, DC	Legal Advocacy	Member	07/08	Present
5	Pound Civil Justice Institute	Washington, DC	Legal Advocacy	Honorary Trustee	08/02	07/08
6	Stalwarts/Hall of Fame Committee	Washington, DC	Legal Advocacy	Member	08/08	Present
7	The AAJ Robert L. Habush Endowment Board of Directors	Washington, DC	Legal Advocacy	Vice President	08/07	Present
8	Iowa Legal Aid's Equal Justice Project, Advisory Council	Des Moines, IA	Legal Assistance	Member	01/06	Present
9	Roxanne & James Conlin Fund, Advisory Committee	Des Moines, IA	Charitable Giving Fund	Founder/Member/Fund Advisor	12/07	Present
10	Conlin Family Iowa Charitable Giving Fund, Advisory Committee	Des Moines, IA	Charitable Giving Fund	Founder/Member/Fund Advisor	12/07	Present
11	Roxanne Conlin & Associates, PC	Des Moines, IA	Law Firm	Director (President, Secretary, Treasurer)	11/90	Present
12	Conlin Properties, Inc	Des Moines, IA	Property Management Firm	Director (Secretary & Treasurer)	04/86	Present
13	Conlin Reserve Specialists, Inc.	Des Moines, IA	Real Estate Firm	Director (Secretary)	05/07	Present

Compensation in excess of \$200 from any position must be reported in Part II.

Roxanne Conlin

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

36

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)		Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY	EXAMPLE	Non-profit education	President	6 / 90	Present
	Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7 / 95	11 / 0X
1	Conlin Brokers, Inc	Des Moines, IA		Real Estate Firm	Director (Secretary & Treasurer)	04/86	Present
2							
3							
4							
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Compensation in excess of \$200 from any position must be reported in Part II.

[Click...to create an additional page for this part.](#)

PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**FIRST TIME FILERS ONLY:**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties	
Example:	Jones & Smith	Hometown, TX	Legal Services	EXAMPLE EXAMPLE
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction	
1	Greg Rager	Sioux City, IA	Legal Services	
2	Microsoft, Class Action	Seattle, WA	Legal Services	
3	Christopher Meyer & Krishna Mastel	Vermillion, SD	Legal Services	
4	Katrina Dorman	Iowa City, IA	Legal Services	
5	J.T.G. Hove Estate Settlement	Solon, IA	Legal Services	
6	Joann Wzontek	Cedar Falls, IA	Legal Services	
7	Jeannie Murphy	Oelwein, IA	Legal Services	
8	Diane Baker	Urbandale, IA	Legal Services	
9	Karla Naylor	Scranton, IA	Legal Services	
10	Gina Wagner - Executor of the estate of Christine & John Lacey	Norwalk, IA	Legal Services	
11	Stephen Price	Marion, IA	Legal Services	
12	Heather Bruning	Carroll, IA	Legal Services	
13	Courtney Everett	Lake City, IA	Legal Services	
14	Rachel Dixon	Cherokee, IA	Legal Services	
15	David Malley	Atlantic, IA	Legal Services	

PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

FIRST TIME FILERS ONLY:

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties	
Example:	Jones & Smith	Hometown, TX	Legal Services	EXAMPLE
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction	EXAMPLE
1	Eric Chatman	Des Moines, IA	Legal Services	
2	Marjorie Bode (c/o Janice Christensen)	Iowa City, IA	Legal Services	
3	Maria Gomez	Des Moines, IA	Legal Services	
4	Nicole Rains	Pleasant Hill, IA	Legal Services	
5	Jerry Schutt	West Des Moines, IA	Legal Services	
6	Karen Essex	Des Moines, IA	Legal Services	
7	Devin, Nancy & James Miller	Polk City, IA	Legal Services	
8	Mark Boley	Keokuk, IA	Legal Services	
9	City of Galva, IA	Galva, IA	Legal Services	
10	City of Ida Grove, IA	Ida Grove, IA	Legal Services	
11	City of Sioux City, IA	Sioux City, IA	Legal Services	
12	James O'Boyle	Des Moines, IA	Legal Services	
13	Cecilia Carman	Council Bluffs, IA	Legal Services	
14	Sonja Schmidhuber	Las Vegas, NV	Legal Services	
15	David Isaacs	Adel, IA	Legal Services	

Click...to create an additional page for this part.

PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

FIRST TIME FILERS ONLY:

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties
Example:	Jones & Smith	Hometown, TX	Legal Services
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction
			EXAMPLE
1	Estate of Joseph Sandford	Clive, IA	Legal Services
2	Gerald Asplund	Waverly, IA	Legal Services
3	Brad Van Horn	Egan, MN	Legal Services
4	Andrew Slagle	Grimes, IA	Legal Services
5	Sara Slagle	Grimes, IA	Legal Services
6	Lisa Jensen	LeMars, IA	Legal Services
7	Glenda Aldrich	Huxley, IA	Legal Services
8	Jeff & Mary Trainor	Sumner, IA	Legal Services
9	Patricia Gansen	Nora Springs, IA	Legal Services
10	Lisa & Skyler King	Des Moines, IA	Legal Services
11	Lavonne Schroeter	Brayton, IA	Legal Services
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Roxanne Conlin

Footnotes for Assets Listed on Pages 27-29 and 32

* Investment is a limited liability company invested in a limited partnership holding rental real estate; Jim and Roxanne Conlin are general partners jointly owning from .01% to 1% of the limited partnership.

** Investment is a limited liability company invested in a limited partnership holding rental real estate; Jim and Roxanne Conlin are general partners jointly owning 10% of the limited partnership.

*** Investment is a limited partnership holding rental real estate; Jim and Roxanne Conlin are general partners jointly owning from .5% to 1%.